



PCT

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Dated: 2 August 07 Signed: [Signature] Printed: Adam Bell

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. National Phase Application of: Raymond Rodriguez and Mark Magbanua

Title: The Use of Lunasin Peptide as a Transcriptional Activator to Prevent Cancer and Related Methods for Treatment, Monitoring and Prognosis

Serial No.:	10/591,097
Filing Date:	15 Feb 2005
Examiner:	Not yet assigned
Group Art Unit:	Not yet assigned
International application number:	PCT/US2005/004868
International Filing Date:	15 <sup>th</sup> February 2005
Priority Data:	1 <sup>st</sup> March 2004 (60/549,487) 21 <sup>st</sup> October 2004 (60/621,217)
Publication number:	WO 2005/091823
DOCKET No.	UCD-2004-404-3
Customer No.	039843

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VIRGINIA  
22313-1450 USA

Please find enclosed:

- 1) Copy of Notification of Missing Requirements
- 2) Executed declarations x 2
- 3) Information Disclosure Statement

**The Commissioner is hereby authorized to charge any fees associated with this application or credit any overpayment, now or in the future, to Bell & Associates USPTO Deposit Account No. 503,194.**

[Signature] 2 AUG 2007

These papers are submitted in response to a Notification of Missing Requirements mailed 5/4/2007. Applicant hereby petitions for a **1 month** extension of time.

Applicant submits \$450 in fees due by deposit account authorization:

- 1) \$325 for 13 claims over 20
- 2) \$65 for declaration under 37 CFR 1.492(h).
- 3) \$60 fee under 37 CFR 1.17(a)(1)

Applicant claims small entity status.

Please do not hesitate to contact the undersigned for all matters related to this application.

Respectfully submitted,

Adam Warwick Bell, D. Phil. Reg. No. 43,490

A handwritten signature in black ink, appearing to read 'ABell', enclosed within a circular scribble. A long horizontal line extends from the bottom of the signature to the right.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

*Docketed ✓  
 Reported*

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/591,097	Raymond Rodriguez	UCD-2004-404-WO-US

INTERNATIONAL APPLICATION NO.
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PCT/US05/04868

I.A. FILING DATE	PRIORITY DATE
02/15/2005	03/01/2004

39843  
 BELL & ASSOCIATES  
 416 FUNSTON ST., SUITE 100  
 SAN FRANCISCO, CA 94118

CONFIRMATION NO. 6205

371 FORMALITIES LETTER



\*OC000000023690528\*

*Due 5 July 2007.*

Date Mailed: 05/04/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/29/2006
- Preliminary Amendments filed on 08/29/2006
- Oath or Declaration filed on 08/29/2006
- Request for Immediate Examination filed on 08/29/2006
- U.S. Basic National Fees filed on 08/29/2006
- Priority Documents filed on 08/29/2006
- Specification filed on 08/29/2006
- Claims filed on 08/29/2006
- Abstracts filed on 08/29/2006
- Drawings filed on 08/29/2006

**COPY**

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ✓ Additional claim fees of \$325 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- ✓ Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$390** for a Small Entity:

- **\$65** Surcharge.
- Total additional claim fee(s) for this application is **\$ 325**
  - **\$325** for 13 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

08/08/2007 MKAYPAGH 00000072 503194 10591097

01 FC:2615 325.00 DA  
 02 FC:2617 65.00 DA

VONDA M WALLACE

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## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/591,097	PCT/US05/04868	UCD-2004-404-WO-US